**Central Surgery**

**Whistle Blowing Policy**

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**B. Document Details**

|  |  |
| --- | --- |
| **Classification:** | Internal |
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| **Organisation:** | Central Surgery |
| **Current Version Number:** | 1.3 |
| **Current Document Approved By:** | AC |
| **Date Approved:** | 6.1.16 |

**C. Document Revision and Approval History**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Version** | **Date** | **Version Created By:** | **Version Approved By:** | **Comments** |
| 1.2 | 6.1.16 | RG | AC | Reviewed and Unchanged |
| 1.3 | 6.2.17 | DM |  |  |
| 1.4 | Dec 2017 | DM |  |  |
| 1.5 | Jan 2018 | RG | RG | Freedom to speak added |
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**CENTRAL SURGERY**

**WHISTLE BLOWING POLICY**

**Introduction**

Whistle blowing is defined as:

*The disclosure by an employee of confidential information, which relates to some danger, fraud or other illegal or unethical conduct connected with the workplace, be it of the employer or of a fellow employee(s).*

A whistle blower is not a ‘sneak’ or a ‘trouble maker’ but someone who comes to a decision to express a concern after a great deal of thought. The law only requires that there be a genuine doubt – the individual is not expected to produce unquestionable evidence to support the concern.

**Applicability**

The policy applies to all employees and partners, and also applies to other people who work at the practice e.g. self-employed staff, temporary staff and contractors.

**Practice Policy**

* The practice has a duty to conduct its affairs in a responsible way
* The practice encourages openness, freedom of speech and the voicing of concerns as a contribution towards maintaining and enhancing quality and ensuring high standards of governance and accountability
* The practice wishes to encourage and enable employees to raise genuine and legitimate concerns internally, confidentially, and without being subject to disciplinary action or other detriment
* The practice wishes to provide an opportunity for those concerns to be investigated and for appropriate action to be taken to ensure that the matter is resolved effectively within the practice wherever possible
* The practice wishes to enable employees to raise concerns with appropriate outside bodies in the event that the concerns are not dealt with satisfactorily internally

**Examples of serious concerns covered by the policy**

* An offence under, or breach of, any statutory instrument or legal obligation
* Fraud, financial irregularity, dishonesty
* Malpractice, corruption, bribery
* Unethical conduct
* Medical or prescribing errors
* Breach of confidentiality
* Miscarriage of justice
* Danger to the health or safety of any individual or the environment
* The deliberate concealing of information about any such matter

**Procedure**

* If you identify a matter of serious concern, you should in the first instance notify the Practice Manager in writing. Where the concern involves the Practice Manager directly the matter should be raised in writing to the Senior Partner
* The Practice Manager/Partner will investigate the matter promptly and inform you of the findings in writing and a copy will be sent to the member of staff who is the subject of the allegation
* Where the report relates to the Practices potential liability or responsibilities arising under the Corporate Manslaughter and Corporate Homicide act 2007, the matter should be raised as above in the first instance, however where he employee considers that this route is inappropriate the disclosure may be made to an external “prescribed body” dependent on the nature of the disclosure. The ability to disclose to an external body applies to both the above act, and to whistleblowing in general, outside the scope of that act
* If appropriate, Practices Disciplinary Procedure will be invoked to discipline the offender
* Where there is evidence of criminal activity, the Police will be informed
* If you are dissatisfied with the outcome of the investigation you may notify the local Primary Care Organisation, or other relevant outside body such as the Health and safety Executive or HM Revenue and Customs, depending on the nature of the matter
* Any victimisation of an employee who raises a concern, or any attempts to deter him/her from raising a legitimate concern, will be regarded as a serious disciplinary offence
* The raising of false or malicious concerns or complaints will be regarded as a disciplinary offence

Raising a concern with a regulator

If the employers are registered with a regulatory body, such as the General Medical Council (GMC) or the Care Quality Commission (CQC) then you may wish to contact them to investigate the issue in the circumstances where;

* You feel that the responsible person or local body is part of the problem you wish to report
* Concerns have been raised through local channels but not satisfied that adequate action has been taken by the responsible person/body
* You feel there is an immediate and serious risk to patients and a regulator (or similar external body) has the responsibility to act or intervene

**Freedom to speak up**

This policy aligns with the NHS England’s Freedom to Speak up Review. The Practices Freedom to Speak up Guardian is Mrs Nadine Farrow, her contact details are 0191 2832881 or [Nadine.farrow@nhs.net](mailto:Nadine.farrow@nhs.net) and she is independant of the practice.

**RESOURCES**

Corporate Manslaughter Act 2007 policy [\*]

[Disclosures in the public interest guidance - BERR](http://www.berr.gov.uk/employment/employment-legislation/employment-guidance/page16186.html#Appendix1LISTOFPRESCRIBEDPERSONS)

[Whistleblowing : Quick Guide to Raising a concern with CQC (Nov 2013)](http://www.cqc.org.uk/content/whistleblowing-quick-guide-raising-concern-cqc)

[Freedom to Speak Up in Primary Care (NHS England guidance)](https://www.england.nhs.uk/wp-content/uploads/2016/11/whistleblowing-guidance.pdf)

**REGULATORY AND INVESTIGATORY BODIES**

**General Chiropractic Council**

Website: [www.gcc-uk.org](http://www.gcc-uk.org); Phone: 020 7713 5155

**General Dental Council**

Website: [www.gdc-uk.org](http://www.gdc-uk.org); Phone: 020 7887 3800

**General Medical Council**

Website: [www.gmc-uk.org](http://www.gmc-uk.org); Phone: 0161 923 6602

**General Pharmaceutical Council**

Website: [www.pharmacyregulation.org](http://www.pharmacyregulation.org); Phone: 020 3365 3400

**Pharmaceutical Society of Northern Ireland**

Website: [www.psni.org.uk](http://www.psni.org.uk); Phone: 028 9032 6927

**Health Professions Council**

Website: [www.hpc-uk.org](http://www.hpc-uk.org); Phone: 020 7582 0866

**Nursing and Midwifery Council**

Website: [www.nmc-uk.org](http://www.nmc-uk.org); Phone: 020 7637 7181

**OTHER REGULATORY AND INVESTIGATORY BODIES**

**Care Quality Commission**

Website: [www.cqc.org.uk](http://www.cqc.org.uk); Phone: 03000 616161

**Monitor**

Website: [www.monitor-nhsft.gov.uk](http://www.monitor-nhsft.gov.uk); Phone: 020 7340 2400

**National Patient Safety Agency**

Website: [www.npsa.nhs.uk](http://www.npsa.nhs.uk); Phone: 020 7927 9500

**NORTHERN IRELAND**

Regulation and Quality Improvement Authority in Northern Ireland

Website: [www.rqia.org.uk](http://www.rqia.org.uk); Phone: 028 9051 7500

**SCOTLAND**

The Care Inspectorate

Website: [www.scswis.com](http://www.scswis.com); Phone: 0845 600 9527

Healthcare Improvement Scotland

Website: [www.healthcareimprovementscotland.org](http://www.healthcareimprovementscotland.org); Phone: 0131 623 4300

**WALES**

Healthcare Inspectorate Wales

Website: [www.hiw.org.uk](http://www.hiw.org.uk); Phone: 029 2092 8850